

1724

PATENT  
Attorney Docket No. 4832.1US

## CERTIFICATE OF MAILING (under 37 C.F.R. § 1.10 if checked)

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Daniel Thatcher

Typed name of person mailing transmittal

July 11, 2001

Date of Deposit

Signature of person mailing transmittal

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: Not Yet Assigned

Group Art Unit No.: 1724

Applicant(s): Kearney et al.

Filing date: January 25, 2001

Serial No.: 09/769,881

For (title): SHALLOW BED FLUID TREATMENT APPARATUS

## COMMUNICATION TRANSMITTAL

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed for filing in connection with the above-identified patent application, and submitted in the order listed, are:

- ☒ Postcard receipt acknowledgment (attached to the front of this transmittal).
- ☒ Duplicate copy of this transmittal sheet in the event that additional filing fees are required under 37 C.F.R. § 1.16. Any such fees may be charged to deposit account no. 20-1469.
- ☐ Check no. in the amount of \$ for the presentation of extra claims as calculated in the remarks section below.
- ☐ Preliminary amendment.
- ☐ Amendment or other communication in response to the non-final office action mailed.
- ☐ Amendment or other communication under 37 C.F.R. § 1.116 in response to the final office action mailed.
- ☐ Petition for Extension of Time in duplicate with check no. in the amount of \$.
- ☐ Verified statement(s) to establish small entity status under 37 C.F.R. § 1.9 and 37 C.F.R. § 1.27 signed by (or on behalf of).
- ☒ Information disclosure statement and information disclosure citation form PTO-1449 with copies of listed documents.

Remarks:

- ☐ An amendment has been made involving one or more claims in the application. The calculation to determine whether any additional fee is due is presented below.

	1	2	3		\$EXTRA
Total claims	-	=	x 18.00	=	
Indep. claims	-	=	x 80.00	=	
First presentation of a multiple dep. claim (+270.00)					
SUBTOTAL					
Reduction for small entity - 50% of subtotal*					
TOTAL ADDITIONAL FEE (subtotal minus any reduction)					

\*Verified statement(s) must be attached to support this reduction if small entity status has not been previously established.

1 Claims remaining after amendment.

2 Highest number of claims previously paid for. Not less than 20 for total claims and 3 for independent claims.

3 Difference between claims remaining and highest number previously paid for. If less than zero, enter "0."

- ☒ The commissioner is authorized to charge any additional fees required but not submitted with any document or request requiring fee payment under 37 C.F.R. §§ 1.16 and 1.17 to deposit account no. 20-1469 during the entire pendency of this application.

Respectfully submitted,

Laurence B. Bond  
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TRASK BRITT, PC  
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Salt Lake City, UT 84110-2550  
(801) 532-1922

Date: July 11, 2001  
Enclosures: As identified aboveRECEIVED  
JUL 18 2001  
TC 1700



**PATENT**

#5  
7-19-01

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Application of:**

Kearney et al.

**Serial No.:** 09/769,881

**Filed:** January 25, 2001

**For:** SHALLOW BED FLUID  
TREATMENT APPARATUS

**Examiner:**

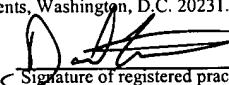
**Group Art Unit:** 1724

**Attorney Docket No.:** 2402-4283.1US

CERTIFICATE OF MAILING

I hereby certify that this correspondence along with any attachments referred to or identified as being attached or enclosed is being deposited with the United States Postal Service as First Class Mail (under 37 C.F.R. § 1.8(a)) on the date of deposit shown below with sufficient postage and in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

July 11, 2001  
Date of Deposit

  
Signature of registered practitioner or other person  
having reasonable basis to expect mailing to occur  
on date of deposit shown pursuant to 37 C.F.R. §  
1.8(a)(1)(ii)

Daniel Thatcher  
Typed/printed name of person whose signature is  
contained above

RECEIVED  
JUL 18 2001  
TC 1700

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In compliance with the duty to disclose information material to patentability pursuant to 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. A copy of the listed document is enclosed pursuant to 37 C.F.R. § 1.98(a).

In accordance with 37 C.F.R. § 1.97(g) and (h), filing of this Information Disclosure Statement is not to be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b). Further, no representation is made by Applicant herein that no other possible material information as defined in 37 C.F.R. § 1.56(b) exists.

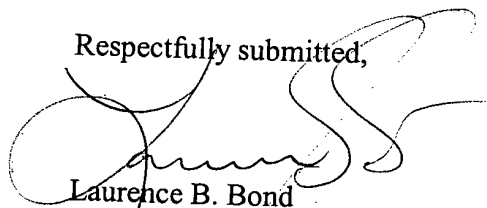
DOCUMENTSU.S. Patent Documents

<u>U.S. Patent No.</u>	<u>Issue Date</u>	<u>Inventor</u>
4,025,438	5/1977	Gelman et al.
4,059,528	11/1977	Grosshandler

Applicant offers to supply any explanation or discussion of the documents which the Examiner feels is necessary or desirable and which is requested.

Pursuant to 37 C.F.R. 1.97(c) I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from the PCT Receiving Office in a counterpart PCT application issued not more than three months prior to the filing of this statement. Accordingly, no fee is due for consideration by the Examiner of the information contained herein.

Respectfully submitted,



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Date: July 9, 2001

Enclosures: Form PTO-1449  
Copy of documents cited